

05/15/01
JC996 U.S. PTO

05-16-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

) PATENT APPLICATION

Inventor(s): Michieal L. Jones)
Steven M. Jaffe)
Brian L. Olmsted)

SC/Serial No.: Unknown)

Filed: Herewith)

Title: WAVEGUIDE BASED LIGHT SOURCE) Customer No. 23910

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"
UNDER 37 C.F.R. §1.10**

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I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.


Johann S. Mercado
Signature Date: May 15, 2001

(Signature)

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s): Michieal L. Jones, Davis, CA
Steven M. Jaffe, Palo Alto, CA
Brian L. Olmsted, Fairport, NY

Title: WAVEGUIDE BASED LIGHT SOURCE

No. of pages in Specification: 12; No. of Claims: 20.

No. of Sheets of Drawings: 3; Formal: , Informal: X.

-1-

Attorney Docket No.: QVIS-01057US1 SRM
srm/qvis/1057us1/1057us1.02

105.001:100200
5/15/1-13:52

Also enclosed are:

- A Declaration.
- An Assignment and Recordation Form Cover Sheet.
- A certified copy of a priority application.
- A Power of Attorney.
- A Statement Claiming Small Entity Status.
- An Information Disclosure Statement under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity
Basic Fee		\$355.00 \$710.00 = \$355.00
Total Claims <u>20</u> - 20	= <u>0</u> * X	\$ 9.00 \$ 18.00 = \$
Independent Claims <u>3</u> - 3	= <u>0</u> * X	\$ 40.00 \$ 80.00 = \$
First Presentation of Multiple Dependent Claim(s) —		\$135.00 \$270.00 = \$
		Total = \$355.00

*If the difference is less than zero, enter "0".

- A check in the amount of \$355.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.
- Any additional filing fees under 37 C.F.R. §1.16.
- Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

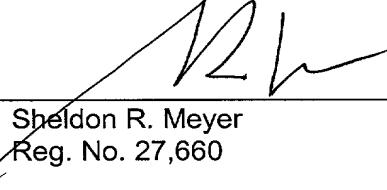
This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

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Respectfully submitted,

Date: May 15, 2001 _____ By: _____


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